

Private Bank

TEMPLATE-3 – BENEFICIAL OWNERSHIP DECLARATION (PARTNERSHIP & OTHER UNINCORPORATED ENTITIES)

範本 – 3 – 實益擁有人聲明 (合夥及其他非法人實體)

Date 日期: _____

Citi Private Bank (CPB) 花旗私人銀行

Citibank, N.A. 花旗銀行

Dear Sir/ Madam 敬啟 先生/女士

Re: Declaration of Beneficial Ownership (Partnership & other unincorporated entities)

關於：實益擁有人聲明 (合夥及其他非法人實體)

Name of Account 賬戶名稱: _____

Name of Entity 實體名稱: _____

Unique ID No. (where available) 獨有證件號 (如有): _____

Type of Entity 實體類別: _____

(e.g. Partnership, Unincorporated Association) (如: 合夥、非法人團體)

The issued shares of the above Company (“the Company”) are:

以上公司(“該公司”)的已發行股本為：

- Registered 已登記 Bearer Shares 不記名股份

Please check one of the boxes below 請勾選以下一個空格:

- The information relating to the ultimate individual beneficial owner(s) of the Entity holding 10% or more of interest in the Entity is found in the document attached to this declaration.

有關持有實體 10%或更多利益的最終個人實益擁有人(等)的信息可以在夾附於此聲明的文件中找到。

(Please attach the relevant document e.g. a copy of the Partnership Agreement; or the Register of Members of the unincorporated association). (請夾附該相關文件, 例如: 合夥協議副本、或非法人團體的成員登記冊)。

- I, on behalf of the Entity, confirm that, to the best of my information and knowledge, the information relating to the ultimate individual beneficial owner(s) of the Entity holding 10% or more of interest in the Entity are:

本人代表實體確認, 就本人所知, 有關持有實體 10%或更多利益的最終個人實益擁有人(等)的信息為:

Name of partner/member, etc 合夥人/成員姓名	% of interest (10% or more) 所持利益百分比 (10%或更多)	Name of ultimate individual Beneficial Owner, if different from the partner/member, holding 10% or more interest in the Entity 如和合夥人/成員姓名不同, 最終個人 實益擁有人 (持有實體 10%或更多利益) 的姓名



Private Bank

The Entity undertakes to inform you of any material change in interest which results in a transfer of control of the Entity.
實體承諾若有任何重大利益更改以致實體控制權轉移，實體將會通知貴行。

Yours faithfully 此致

Signature 簽署: _____

Name 姓名: _____

Director or Authorized Representative of the Entity opening account with CPB

於花旗私人銀行開立賬戶的實體董事或授權代表

Notes 注:

This form is to be completed in the case where CPB is requested to open an account for an unincorporated Entity such a Partnership or an unincorporated Association and the required information regarding the ultimate individual beneficial owner(s) is not available in the Entity's formation documents or in documents filed with the relevant registry in the country of formation/place of business. In the event the Entity opening the account is a Personal Investment Company (PIC), please complete the Declarations of Beneficial Ownership (PIC) at Template 1-1 or 1-2, as applicable. For non-PIC incorporated entities (e.g. an Operating Company, incorporated Investment Manager, etc), please complete the Declaration of Beneficial Ownership (Incorporated Entity) at Template 2.

若花旗私人銀行被要求開立非法人實體 (例如合夥或非法人團體) 賬戶，及若有關最終個人實益擁有人 (等) 的所需信息未能在實體的成立文件中或交付予註冊成立國家 / 營業地點的相關註冊處的文件中找到，則需填寫此表格。如開設賬戶的實體為個人投資公司，請填寫範本 1-1 或 1-2 內的實益擁有人聲明 (個人投資公司) (按合適)。如是非個人投資公司的法人實體 (例如營運公司、已註冊成立投資經理等)，請填寫範本 2 內的實益擁有人聲明 (法人實體)。

Note: In case of discrepancies between the English and Chinese versions, the English version shall apply and prevail.

注意：中文譯本僅供參考，如有差異，須以英文原文為準。